

# Quantum Proofs

John Watrous

School of Computer Science  
and  
Institute for Quantum Computing  
University of Waterloo

August 10, 2006

# Promise problems

A **promise problem** is a computational problem where two disjoint sets of inputs (*yes* inputs and *no* inputs) must be distinguished.

The GRAPH ISOMORPHISM problem will serve as a helpful example:

## GRAPH ISOMORPHISM

**Input:** Two simple, undirected graphs  $G_0$  and  $G_1$ .

**Yes:**  $G_0$  and  $G_1$  are isomorphic ( $G_0 \cong G_1$ ).

**No:**  $G_0$  and  $G_1$  are not isomorphic ( $G_0 \not\cong G_1$ ).

There may be “don’t care” inputs: we do not require every input to be either a yes or a no input.

# Some basic complexity classes

**Computational complexity theory** studies classes of promise problems, often defined by resource constraints.

**P** The class of promise problems solvable in **polynomial time** on a deterministic Turing machine.

**BPP** The class of promise problems solvable in **polynomial time** on a **bounded error** probabilistic Turing machine (correct on every input with probability at least 99/100).

**PP** The class of promise problems solvable in **polynomial time** on an **unbounded error** probabilistic Turing machine (correct on every input with probability greater than 1/2).

**PSPACE** The class of promise problems solvable in **polynomial space** on a deterministic Turing machine.

**EXP** The class of promise problems solvable in **exponential time** on a deterministic Turing machine.

# The class NP

A promise problem  $A$  is in the class NP if and only if there exists:

- a polynomial  $p$  (which specifies the *proof length*)
- a polynomial-time *verification procedure*  $V$ .

such that these two properties are satisfied:

**1. Completeness.** If a string  $x$  is a *yes* input, then there **exists** a string  $y$  of length  $p(|x|)$  causing  $V$  to *accept*:

$$\exists y : V(x, y) = 1.$$

The string  $y$  is a **proof** (or **certificate** or **witness**) that  $x$  is a *yes* input.

**2. Soundness.** If a string  $x$  is a *no* input, then **no** string  $y$  of length  $p(|x|)$  causes  $V$  to accept:

$$\forall y : V(x, y) = 0.$$

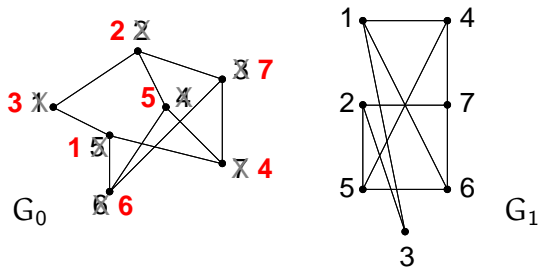
# Example: GRAPH ISOMORPHISM is in NP

## GRAPH ISOMORPHISM

**Input:** Two simple, undirected graphs  $G_0$  and  $G_1$ .

**Yes:**  $G_0$  and  $G_1$  are isomorphic ( $G_0 \cong G_1$ )

**No:**  $G_0$  and  $G_1$  are not isomorphic ( $G_0 \not\cong G_1$ )



The proof can be a description of an isomorphism:

$1 \rightarrow 3, 2 \rightarrow 2, 3 \rightarrow 7, 4 \rightarrow 5, 5 \rightarrow 1, 6 \rightarrow 6, 7 \rightarrow 4.$

# Closure under complement?

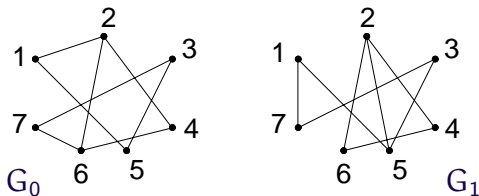
## GRAPH NON-ISOMORPHISM

**Input:** Two simple, undirected graphs  $G_0$  and  $G_1$ .

**Yes:**  $G_0$  and  $G_1$  are not isomorphic ( $G_0 \not\cong G_1$ ).

**No:**  $G_0$  and  $G_1$  are isomorphic ( $G_0 \cong G_1$ ).

Consider certifying that these two graphs are **non-isomorphic**:



It is not known whether or not this problem is in NP... an efficient **general** method would be required.

# The class MA

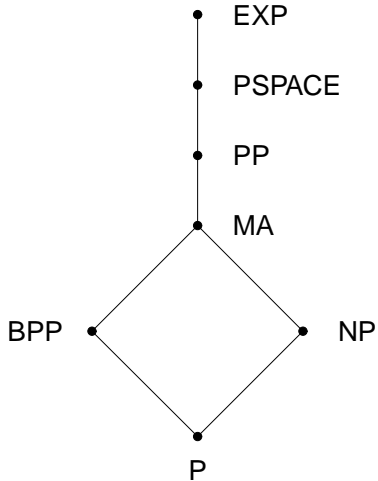
MA is defined similarly to NP, except that the verification procedure is probabilistic. . . a promise problem  $A$  is in MA if and only if there exists:

- a polynomial  $p$
- a polynomial-time **probabilistic** verification procedure  $V$

such that similar properties to before are satisfied:

- 1. Completeness.** If  $x$  is a *yes* input, then there exists a string  $y$  of length  $p(|x|)$  such that  $V$  accepts  $(x, y)$  with probability at least  $99/100$ .
- 2. Soundness.** If  $x$  is a *no* input, then  $V$  rejects  $(x, y)$  for every string  $y$  of length  $p(|x|)$  with probability at least  $99/100$ .

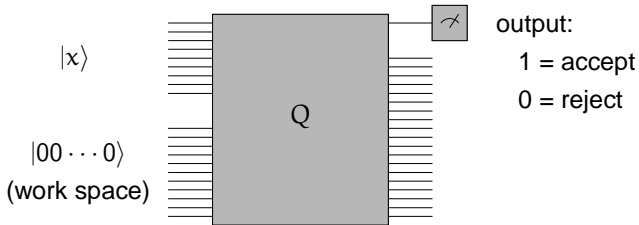
# Diagram of classes





# BQP

A promise problem  $A$  is in BQP if there exists a family\* of polynomial-size quantum circuits that work like this:



If  $x$  is a *yes* input, then

$$\Pr[Q \text{ accepts } x] \geq 99/100.$$

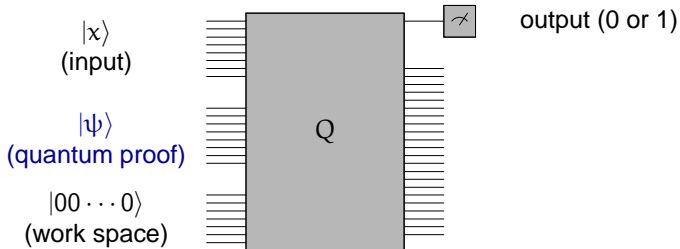
If  $x$  is a *no* input, then

$$\Pr[Q \text{ rejects } x] \geq 99/100.$$

# QMA: a quantum analogue of NP

A promise problem  $A$  is in **QMA** if there exists:

- a polynomial  $p$ , and
- a family of polynomial-size circuits as follows:



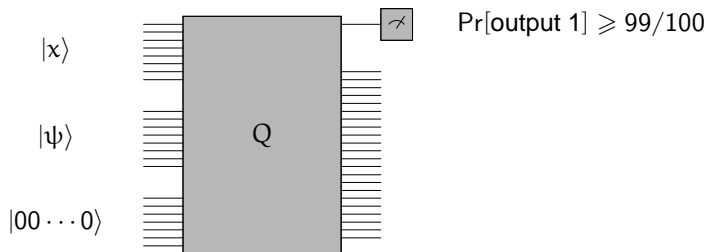
As for NP and MA, the polynomial  $p$  specifies the size of the proof:

$|\psi\rangle$  is a  $p(|x|)$ -qubit state.

# QMA: conditions on verification procedure

## 1. Completeness.

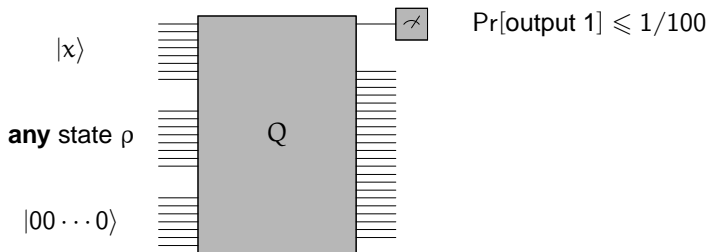
If  $x$  is a yes input, then there must **exist** a quantum state  $|\psi\rangle$  that causes  $Q$  to accept with high probability:



# QMA: conditions on verification procedure

## 2. Soundness.

If  $x$  is a *no* input, then **no** choice of a quantum state  $|\psi\rangle$  causes  $Q$  to accept, except with very small probability:



# Some basic facts about QMA

## 1. **Strong error reduction.**

There is nothing special about the constant 99/100 in the definition.  
We can require

$$\text{completeness probability: } 1 - 2^{-q(|x|)}$$

$$\text{soundness probability: } 2^{-q(|x|)}$$

without changing the class.

This error reduction can be done independently of the proof length.

[MARRIOTT & W., 2004.]

## 2. **Upper bound.**

$\text{QMA} \subseteq \text{PP}$ . [KITAEV & W., 2000; VYALYI, 2003; MARRIOTT & W., 2004]

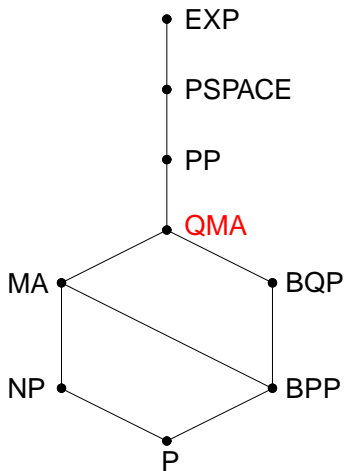
## 3. **Existence of an interesting complete promise problem.**

The 2-LOCAL HAMILTONIAN problem is complete for QMA

[KEMPE, KITAEV & REGEV, 2004.]

(Loosely speaking: quantum analogue of the Cook-Levin Theorem.)

# Diagram of classes



# Group-theoretic problems

Let  $G$  be a **finite group** whose elements can be represented (uniquely) by strings of a given length  $n$ .

## Efficient computation of group operations:

Given two elements  $g, h \in G$ , it is assumed that the group operations can be efficiently implemented by quantum circuits:

1. **Multiplication:**  $|g\rangle |h\rangle \mapsto |g\rangle |gh\rangle$ .
2. **Inverse:**  $|g\rangle \mapsto |g^{-1}\rangle$ .

## Abstraction:

It is sometimes helpful to view such a group as a **black box group**; the group operations are performed by a black box (or group oracle), and string representatives of elements are independent of group structure.

# Group membership

## GROUP MEMBERSHIP

**Input:** Group elements  $g_1, \dots, g_k$  and  $h$  of  $G$ .

**Yes:**  $h \in \langle g_1, \dots, g_k \rangle$ .

**No:**  $h \notin \langle g_1, \dots, g_k \rangle$ .

- GROUP MEMBERSHIP  $\in$  NP [BABAI AND SZEMERÉDY, 1984]  
The proof follows from the *Reachability Lemma*: every element in the subgroup  $\langle g_1, \dots, g_k \rangle$  has a short *straight-line program* that starts with  $g_1, \dots, g_k$ .
- GROUP NON-MEMBERSHIP is **not** known to be in NP (or in MA).  
There are group oracles relative to which it is provably not the case [BABAI, 1991; W., 2000].



# Quantum proofs for non-membership

## Theorem [W., 2000]

GROUP NON-MEMBERSHIP is in QMA.

The idea behind the proof of this theorem is simple—the quantum state that proves

$$h \notin H \stackrel{\text{def}}{=} \langle g_1, \dots, g_k \rangle$$

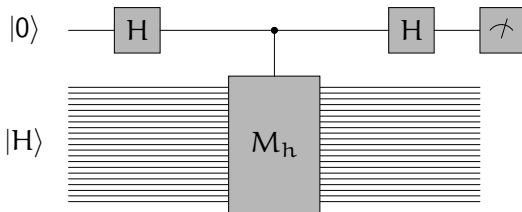
will be the uniform pure state over the elements of  $H$ :

$$|H\rangle = \frac{1}{\sqrt{|H|}} \sum_{a \in H} |a\rangle.$$

(It is independent of the element  $h$ .)

# Quantum proofs for non-membership

Suppose that you have a copy of the state  $|H\rangle$ . You can use this state to efficiently test membership of  $h$  in  $H$  as follows ...



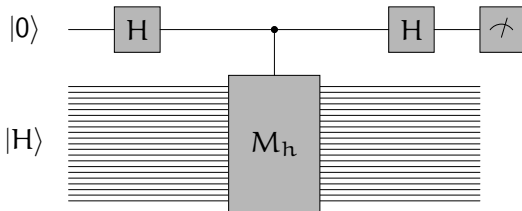
**Case 1:**  $h \in H$ . We have

$$M_h |H\rangle = |hH\rangle = |H\rangle ;$$

the controlled-multiplication has **no effect**. As  $H^2 |0\rangle = |0\rangle$ , so the measurement outcome is **0** (with certainty).

# Quantum proofs for non-membership

Suppose that you have a copy of the state  $|H\rangle$ . You can use this state to efficiently test membership of  $h$  in  $H$  as follows ...



**Case 2:**  $h \notin H$ . We have

$$M_h |H\rangle = |hH\rangle \perp |H\rangle ;$$

the controlled-multiplication **acts as a measurement** of the first qubit. Both before and after the second Hadamard transform, it will be **totally mixed**. The measurement outcome is a **uniform random bit**.

## But we can't trust the proof. . .

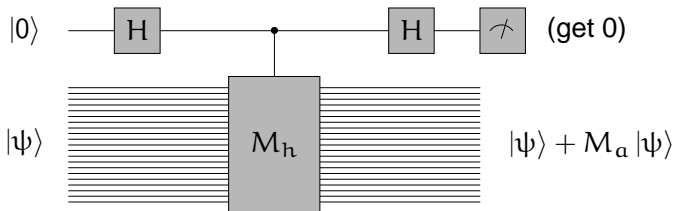
Suppose that  $|\psi\rangle$  is the quantum state that supposedly proves  $h \notin H$ . Unfortunately we cannot trust that  $|\psi\rangle = |H\rangle$ , so we need to process  $|\psi\rangle$  before running the membership test.

Imagine that instead of running the membership test with  $h$ , we run the test with some element  $a \in H$ . It should reveal that  $a \in H$ , because it is!

If the test indicates  $a \notin H$ , then we know  $|\psi\rangle \neq |H\rangle$ ; **the proof is invalid so reject.**

Conditioned on the test indicating  $a \in H$ , what happens to the proof?

## Modified proof



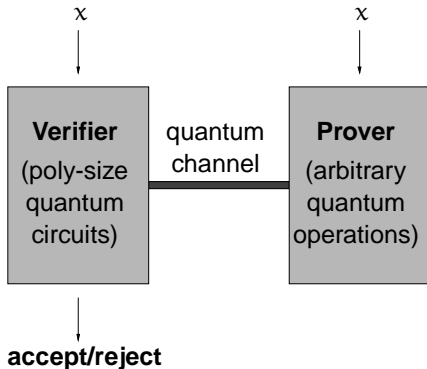
Repeat for a well-chosen set of elements  $\alpha_1, \dots, \alpha_k$ ; conditioned on success for each test, we will have a state very close to

$$\sum_{\alpha \in H} M_\alpha |\psi\rangle \quad (\text{normalized}).$$

This state is invariant under left multiplication by elements in  $H$ ; if  $h \in H$ , the test will falsely conclude  $h \notin H$  with very small probability.

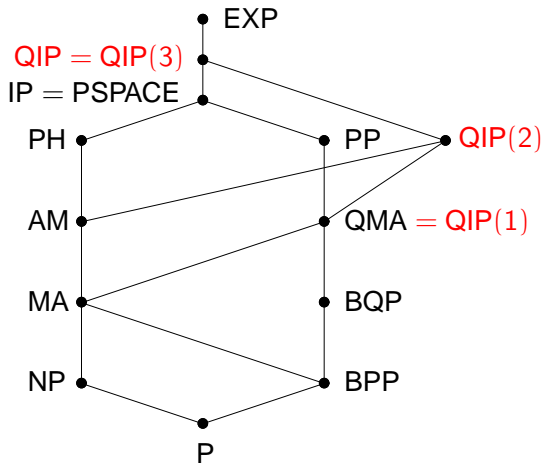
# Quantum interactive proof systems

The **quantum interactive proof system** model works similarly to QMA, except that an **interaction** occurs between the verification procedure and a **prover**.



The model's **classical** counterpart is very important and well-studied in complexity theory.

# Diagram of complexity classes



# Open problems

1. Place interesting problems in QMA.
  - Is GRAPH NON-ISOMORPHISM in QMA?
  - Is GROUP ORDER in QMA?
2. Many questions about the classes QMA, QIP(2), and QIP remain unanswered.
  - Is  $\text{QIP}(2) \subseteq \text{PSPACE}$ ?
  - Improve  $\text{PSPACE} \subseteq \text{QIP} \subseteq \text{EXP}$ .
  - Is QIP closed under complementation?
3. There are interesting variants of these models for which little is known:
  - “Multiple Merlins”. . . are two quantum proofs better than one?
  - Multiprover interactive proofs. . .